

RECEIVED  
CENTRAL FAX CENTER  
SEP 28 2004

## TELEFAX COVER SHEET

## MOSER, PATTERSON &amp; SHERIDAN, LLP

ATTORNEYS AT LAW  
595 SHREWSBURY AVENUE  
SUITE 100  
SHREWSBURY, NJ 07702  
TELEPHONE (732) 530-9404  
TELEFAX (732) 530-9808

\*\*\*\*\*

THIS TELEFAX MESSAGE IS ADDRESSED TO THE PERSON OR COMPANY LISTED BELOW. IF IT WAS SENT OR RECEIVED INCORRECTLY, OR YOU ARE NOT THE INTENDED RECIPIENT, PLEASE TAKE NOTICE THAT THIS MESSAGE MAY CONTAIN PRIVILEGED OR CONFIDENTIAL MATERIAL, AND YOUR DUE REGARD FOR THIS INFORMATION IS NECESSARY. YOU MAY ARRANGE TO RETURN THIS MATERIAL BY CALLING THE FIRM LISTED ABOVE AT (732) 530-9404

\*\*\*\*\*

THIS MESSAGE HAS 9 PAGES INCLUDING THIS SHEET

TO: Commissioner for Patents

FAX NO.: 703-872-9306

FROM: Keith Taboada, Esq.

DATE: September 28, 2004

MATTER: Serial No. 10/823,364 Filed: April 12, 2004

DOCKET NO.: 8756/ETCH/DICP

APPLICANT: Shannon, et al.

The following has been received in the U.S. Patent and Trademark Office on the date of this facsimile:

<input type="checkbox"/> Petition	<input type="checkbox"/> Transmittal Letter (2 copies)
<input type="checkbox"/> Disclosure Statement & PTO-1449	<input type="checkbox"/> Fee Transmittal (2 copies)
<input type="checkbox"/> Priority Document	<input type="checkbox"/> Deposit Account Transaction
<input type="checkbox"/> Drawings ( sheets) informal	<input checked="" type="checkbox"/> Facsimile Transmission Certificate
<input type="checkbox"/> Amendment	dated September 28, 2004
<input checked="" type="checkbox"/> Preliminary Amendment (6 pages)	
<input checked="" type="checkbox"/> Two (2) sheets of replacement drawings	

## CERTIFICATE OF TRANSMISSION UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being transmitted by facsimile to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on September 28, 2004, Facsimile No. (703) 872-9306.

Allyson M. DeVesty  
Name of person signing this certificate

*Allyson M. DeVesty* 9-28-04  
Signature and date

305104

SEP 28 2004

PRELIMINARY AMENDMENT  
Serial No. 10/823,364  
Page 1 of 6

**IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE**

**PATENT APPLICATION**

Applicant: **Shannon, et al.**

Case: **8756/ETCH/DICP**

Serial No.: **10/823,364**

Filed: **April 12, 2004**

Examiner: **Not yet assigned**

Group Art Unit: **Not yet assigned**

Confirmation No.: **Not yet assigned**

**TITLE: PLASMA CONTROL USING DUAL CATHODE FREQUENCY  
MIXING**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**S I R:**

**PRELIMINARY AMENDMENT**

Please enter the following Preliminary Amendment. The Applicants believe no fee is due in connection with this amendment. The Commissioner is hereby authorized to charge Deposit Account No. 20-0782 for any fees, including extension of time fees or excess claim fees, required to make this response timely and acceptable to the Office.

300957